U.S. Department of Housing and Urban Development Office of Public and Indian Housing

# PHA Plans

5 Year Plan for Fiscal Years 2000 - 2004 Annual Plan for Fiscal Year 2000

# CITY OF HUDSON SECTION 8 PROGRAMS HUDSON, NEW YORK 12534 NY438

# PHA Plan Agency Identification

PHA Name:	CITY OF HUDSON SECTION 8 PROGRAMS
PHA Number:	NY438
PHA Fiscal Year	Beginning: OCTOBER 1, 2000
<b>Public Access to 1</b>	Information
Information regardin (select all that apply)	g any activities outlined in this plan can be obtained by contacting
X Main administra PHA developm PHA local office	ent management offices
<b>Display Locations</b>	s For PHA Plans and Supporting Documents
apply)  _X_ Main administra PHA developm PHA local offic Main administra Main administra	ent management offices  res  ative office of the local government  ative office of the County government  ative office of the State government
_X_ Main business of	Documents are available for inspection at: (select all that apply) office of the PHA ent management offices w)

#### 5-YEAR PLAN PHA FISCAL YEARS 2000 - 2004

[24 CFR Part 903.5]

#### A. Mission

State the PHA's mission for serving the needs of low-income, very low income, and extremely low-income families in the PHA's jurisdiction. (select one of the choices below)

**X** The mission of the PHA is the same as that of the Department of Housing and Urban Development: To promote adequate and affordable housing, economic opportunity and a suitable living environment free from discrimination.

#### **B.** Goals

The goals and objectives listed below are derived from HUD's strategic Goals and Objectives and those emphasized in recent legislation. PHAs may select any of these goals and objectives as their own, or identify other goals and/or objectives. Whether selecting the HUD-suggested objectives or their own, PHAS ARE STRONGLY ENCOURAGED TO IDENTIFY QUANTIFIABLE MEASURES OF SUCCESS IN REACHING THEIR OBJECTIVES OVER THE COURSE OF THE 5 YEARS. (Quantifiable measures would include targets such as: numbers of families served or PHAS scores achieved.) PHAs should identify

HUD Strategic Goal: Increase the availability of decent, safe, and affordable housing.

_ <u>X</u>	PHA Goal: Expand the supply of assisted housing
	Objectives:
	$\underline{X}$ Apply for additional rental vouchers:
	Reduce public housing vacancies:
	_X_ Leverage private or other public funds to create additional housing opportunities:
	Acquire or build units or developments
	Other (list below)
	PHA Goal: Improve the quality of assisted housing Objectives:
	Improve public housing management: (PHAS score)
	X Improve voucher management: (SEMAP score)
	Increase customer satisfaction:
	Concentrate on efforts to improve specific management functions:  (list; e.g., public housing finance; voucher unit inspections)
	Renovate or modernize public housing units:

	Demolish or dispose of obsolete public housing:	
	Provide replacement public housing:	
	Provide replacement vouchers:	Other: (
X_	PHA Goal: Increase assisted housing choices	
	Objectives:	
	Provide voucher mobility counseling:	
	Conduct outreach efforts to potential voucher landlords	
	X Increase voucher payment standards	
	$\underline{\underline{X}}$ Implement voucher homeownership program:	
	Implement public housing or other homeownership programs:	
	Implement public housing site-based waiting lists:	
	Convert public housing to vouchers:	
	Other: (list below)	
indivi	PHA Goal: Promote self-sufficiency and asset development of assisted Objectives:  Increase the number and percentage of employed persons in assisted families: Provide or attract supportive services to improve assistance recipients' employability:  X    Provide or attract supportive services to increase independence for the elderly or families with disabilities Other: (list below)	
HUD _X_	Strategic Goal: Ensure Equal Opportunity in Housing for all Americans  PHA Goal: Ensure equal opportunity and affirmatively further fair housing	
	Objectives:	
	<u>X</u> Undertake affirmative measures to ensure access to assisted housing	
	regardless of race, color, religion national origin, sex, familial status, and disability:	
	$\underline{\mathbf{X}}$ Undertake affirmative measures to provide a suitable living environment for	
	families living in assisted housing, regardless of race, color, religion national origin, sex, familial status, and disability:	

X	Undertake affirmative measures to ensure accessible housing to persons
	with all varieties of disabilities regardless of unit size required:
	Other: (list below)

HUD 50075

OMB Approval No: 2577-0266 Expires: 03/31/2002

# PHA Plan PHA Fiscal Year 2000 [24 CFR Part 903.7]

<u>Annual</u>	<u>Plan Type:</u>
Select which	type of Annual Plan the PHA will submit.
	andard Plan
Streamlin	ed Plan:
	High Performing PHA
	Small Agency (<250 Public Housing Units)
<u>X</u>	Administering Section 8 Only
Tr	oubled Agency Plan

# **Executive Summary of the Annual PHA Plan**

[24 CFR Part 903.7 9 (r)]

Provide a brief overview of the information in the Annual Plan, including highlights of major initiatives and discretionary policies the PHA has included in the Annual Plan.

#### FY 2000 Annual Plan Page 1

HUD 50075 OMB Approval No: 2577-0226

Expires: 03/31/2002

# **Annual Plan Table of Contents**

[24 CFR Part 903.7 9 (r)]

Provide a table of contents for the Annual Plan, including attachments, and a list of supporting documents available for public inspection.

#### **Table of Contents**

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#### **Annual Plan**

#### **Executive Summary**

- i. Table of Contents
  - 1. Housing Needs
  - 2. Financial Resources
  - 3. Policies on Eligibility, Selection and Admissions
  - 4. Rent Determination Policies
  - 5. Operations and Management Policies
  - 6. Grievance Procedures
  - 7. Capital Improvement Needs
  - 8. Demolition and Disposition
  - 9. Designation of Housing
  - 10. Conversions of Public Housing
  - 11. Homeownership
  - 12. Community Service Programs
  - 13. Crime and Safety

- 14. Pets (Inactive for January 1 PHAs)
- 15. Civil Rights Certifications (included with PHA Plan Certifications)
- 16. Audit
- 17. Asset Management
- 18. Other Information

#### **Attachments Attachments**

Indicate which attachments are provided by selecting all that apply. Provide the attachment's name (A, B, etc.) in the space to the left of the name of the attachment. Note: If the attachment is provided as a **SEPARATE** file submission from the PHA Plans file, provide the file name in parentheses in the space to the right of the title. Indicate which attachments are provided by selecting all that apply. Provide the attachment's name (A, B, etc.) in the space to the left of the name of the attachment. Note: If the attachment is provided as a **SEPARATE** file submission from the PHA Plans file, provide the file name in

Requir	ed Attachments:
	Admissions Policy for Deconcentration
	FY 2000 Capital Fund Program Annual Statement
<u>X</u>	Most recent board-approved operating budget (Required Attachment for PHAs
	that are troubled or at risk of being designated troubled ONLY)
Option	al Attachments:
	PHA Management Organizational Chart
	FY 2000 Capital Fund Program 5 Year Action Plan
	Public Housing Drug Elimination Program (PHDEP) Plan
<u>X</u>	Comments of Resident Advisory Board or Boards (must be attached if not included
	in PHA Plan text)
	Other (List below, providing each attachment name)

# Supporting Documents Available for Review

#### Supporting Documents Available for ReviewDocuments Available for Review

Indicate which documents are available for public review by placing a mark in the "Applicable & On Display" column in the appropriate rows. All listed documents must be on display if applicable to the program activities conducted by the PHA.

#### List of Supporting Documents Available for ReviewList of Supporting Documents Available for ReviewList of Supporting Documents Available for Review

Applicable & On Display	Supporting DocumentSupporting Document  Document	Applicable Plan Component
X	PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations	5 Year and Annual Plans
X	State/Local Government Certification of Consistency with the Consolidated Plan	5 Year and Annual Plans
N/A	Fair Housing Documentation:	5 Year and Annual Plans

	Records reflecting that the PHA has examined its programs or proposed programs, identified any impediments to fair housing choice in those programs, addressed or is addressing those impediments in a reasonable fashion in view of the resources available, and worked or is working with local jurisdictions to implement any of the jurisdictions' initiatives to affirmatively further fair housing that require the PHA's involvement.	
X	Consolidated Plan for the jurisdiction/s in which the PHA is located (which includes the Analysis of Impediments to Fair Housing Choice (AI))) and any additional backup data to support statement of housing needs in the jurisdiction	Annual Plan: Housing Needs
X	Most recent board-approved operating budget for the public housing program	Annual Plan: Financial Resources;
N/A	Public Housing Admissions and (Continued) Occupancy Policy (A&O), which includes the Tenant Selection and Assignment Plan [TSAP]	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Section 8 Administrative Plan	Annual Plan: Eligibility, Selection, and Admissions Policies
N/A	Public Housing Deconcentration and Income Mixing Documentation:  PHA board certifications of compliance with deconcentration requirements (section 16(a) of the US Housing Act of 1937, as implemented in the 2/18/99 Quality Housing and Work Responsibility Act Initial Guidance; Notice and any further HUD guidance) and 18. Documentation of the required deconcentration and income mixing analysis	Annual Plan: Eligibility, Selection, and Admissions Policies
N/A	Public housing rent determination policies, including the methodology for setting public housing flat rents  Check here if included in the public housing A & O Policy	Annual Plan: Rent Determination
N/A	Schedule of flat rents offered at each public housing development  check here if included in the public housing A & O Policy	Annual Plan: Rent Determination
X	Section 8 rent determination (payment standard) policies  Check here if included in Section 8  Administrative Plan	Annual Plan: Rent Determination
N/A	Public housing management and maintenance policy documents, including policies for the prevention or eradication of pest infestation (including cockroach infestation)	Annual Plan: Operations and Maintenance
N/A	Public housing grievance procedures  check here if included in the public housing  A & O Policy	Annual Plan: Grievance Procedures
X	Section 8 informal review and hearing procedures  Check here if included in Section 8	Annual Plan: Grievance Procedures

Administrative Plan	
The HUD-approved Capital Fund/Comprehensive Grant Program Annual Statement (HUD 52837) for the active grant year	Annual Plan: Capital Needs
Most recent CIAP Budget/Progress Report (HUD 52825) for any active CIAP grant	Annual Plan: Capital Needs
Most recent, approved 5 Year Action Plan for the Capital Fund/Comprehensive Grant Program, if not included as an attachment (provided at PHA option)	Annual Plan: Capital Needs
Approved HOPE VI applications or, if more recent, approved or submitted HOPE VI Revitalization Plans or any other approved proposal for development of public housing	Annual Plan: Capital Needs
Approved or submitted applications for demolition and/or disposition of public housing	Annual Plan: Demolition and Disposition
Approved or submitted applications for designation of public housing (Designated Housing Plans)	Annual Plan: Designation of Public Housing
Approved or submitted assessments of reasonable revitalization of public housing and approved or submitted conversion plans prepared pursuant to section 202 of the 1996 HUD Appropriations Act	Annual Plan: Conversion of Public Housing
Approved or submitted public housing homeownership programs/plans	Annual Plan: Homeownership
Policies governing any Section 8 Homeownership program  ightharpoonup Charles and Section 8 Administrative Plan	Annual Plan: Homeownership
Any cooperative agreement between the PHA and the TANF agency (included in admin. plan)	Annual Plan: Community Service & Self-Sufficiency
FSS Action Plan/s for public housing and/or Section 8	Annual Plan: Community Service & Self-Sufficiency
Most recent self-sufficiency (ED/SS, TOP or ROSS or other resident services grant) grant program reports	Annual Plan: Community Service & Self-Sufficiency
The most recent Public Housing Drug Elimination Program (PHEDEP) semi-annual performance report for any open grant and most recently submitted PHDEP application (PHDEP Plan)	Annual Plan: Safety and Crime Prevention
The most recent fiscal year audit of the PHA conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U. S.C. 1437c(h)), the results of that audit and the PHA's response to any findings	Annual Plan: Annual Audit
Troubled PHAs: MOA/Recovery Plan	Troubled PHAs
Other supporting documents (optional) (list individually; use as many lines as necessary)	(specify as needed)
	The HUD-approved Capital Fund/Comprehensive Grant Program Annual Statement (HUD 52837) for the active grant year  Most recent CIAP Budget/Progress Report (HUD 52825) for any active CIAP grant  Most recent, approved 5 Year Action Plan for the Capital Fund/Comprehensive Grant Program, if not included as an attachment (provided at PHA option)  Approved HOPE VI applications or, if more recent, approved or submitted HOPE VI Revitalization Plans or any other approved proposal for development of public housing  Approved or submitted applications for designation of public housing (Designated Housing Plans)  Approved or submitted assessments of reasonable revitalization of public housing and approved or submitted conversion plans prepared pursuant to section 202 of the 1996 HUD Appropriations Act  Approved or submitted public housing homeownership programs/plans  Policies governing any Section 8 Homeownership programs/plans  Policies governing any Section 8 Homeownership programs/check here if included in the Section 8  Administrative Plan  Any cooperative agreement between the PHA and the TANF agency (included in admin. plan)  FSS Action Plan/s for public housing and/or Section 8  Most recent self-sufficiency (ED/SS, TOP or ROSS or other resident services grant) grant program reports  The most recent Public Housing Drug Elimination Program (PHEDEP) semi-annual performance report for any open grant and most recently submitted PHDEP application (PHDEP Plan)  The most recent fiscal year audit of the PHA conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U. S.C. 1437c(h)), the results of that audit and the PHA's response to any findings  Troubled PHAs: MOA/Recovery Plan  Other supporting documents (optional)

#### 1. Statement of Housing Needs

[24 CFR Part 903.7 9 (a)]

#### A. Housing Needs of Families in the Jurisdiction/s Served by the PHA

Based upon the information contained in the Consolidated Plan/s applicable to the jurisdiction, and/or other data available to the PHA, provide a statement of the housing needs in the jurisdiction by completing the following table. In the "Overall" Needs column, provide the estimated number of renter families that have housing needs. For the remaining characteristics, rate the impact of that factor on the housing needs for each family type, from 1 to 5, with 1 being "no impact" and 5 being "severe impact." Use N/A to indicate that no information is available upon which the PHA can make this assessment.

Housing Needs of Families in the Jurisdiction by Family Type							
Family Type	OVERALL	Afford- ability	Supply	Quality	Access-ibility	2. Size. Size.	Loca-tion
Income <= 30% of AMI	676	5	4	5	3	4	2
Income >30% but <=50% of AMI	377	5	3	5	3	4	2
Income >50% but <80% of AMI	406	5	3	5	3	4	2
Elderly	1332	5	4	5	4	1	2
Families with Disabilities							
Black	493	5	3	5	3	4	2
Hispanic Race/Ethnicity	66	5	3	5	3	4	2
Race/Ethnicity							

What sources of information did the PHA use to conduct this analysis? (Check all that apply; all materials must be made available for public inspection.)

X

Consolidated Plan of the Jurisdiction/s

Indicate year: 2000

X U.S. Census	s data: the Comprehensi	ive Housing Affordabilit	y Strategy ("CHAS") dataset			
American Housing Survey data						
Indicate year:						
Other housing market study						
Indicate year:						
Other source	es: (list and indicate yea	r of information)				
A. Housing Ne	eds of Families or	n the Public Housi	ng and Section 8			
<b>Tenant- Bas</b>	sed Assistance Wa	aiting Lists				
PHA-wide waiting list		. PHAs may provide separ	e one table for each type of rate tables for site-based or			
I	Housing Needs of Fan	nilies on the Waiting L	ist			
Waiting list type: (selec	et one)					
Section 8 tenan	t-based assistance					
Public Housing						
_	on 8 and Public Housing	o de la companya de l				
	•	ctional waiting list (optio	nal)			
•	which development/su	• • •	,			
•	<u>*</u>					
	# of families	% of total families	Annual Turnover			
Waiting list total	165		20			
Extremely low	N/A	N/A				
ncome <=30% AMI						
Very low income (>30% but <=50% AMI)	165	100%				
Low income						
>50% but <80%						
AMI)						
Families with children	96	58%				
Elderly families	13	8%				
Families with	27	17%				
Disabilities						

Race/ethnicity

Race/ethnicity		
Race/ethnicity		
Race/ethnicity		
Is the waiting list closed (s	select one)? 🗵 No Yes	
If yes:		
How long has it be	een closed (# of months)?	How long has it been closed (#
of months)?	How long has it been cl	osed (# of months)?
Does the PHA exp	ect to reopen the list in the P	PHA Plan year? No Yes
Does the PHA per	mit specific categories of fami	lies onto the waiting list, even if
generally closed	1? No Yes	

#### **HOUSING NEED:**

#### City of Hudson

The City of Hudson is a small city on the Hudson River with a 1990 population of 8.043. The City ifs the center of County government and has the largest concentration of financial and professional services in the County. The City and the surrounding Town of Greenport are the retail center for the County. The most notable development in Hudson over the past 6-8 years has been the burgeoning antique industry developing on the main street, and which is now spreading throughout the City. The City and the surrounding Town of Greenport are also home to some of the larger manufactureres in the County.

The residents of Hudson are a stark contrast to the remainder of Columbia County. The City has the lowest median hh income in the County as well as the highest poverty rate. The \$17,102 median hh income in Hudson is only 57% of the County median hh income, and the poverty rate ismore than double that of the County.

Table 1
City of Hudson Comparative Demographics
Income

	COLUMBIA COUNTY	<u>GREENPORT</u> <u>I</u>	<u>HUDSON</u>
Population	62,892	4,200	8,.034
Housing Units	29,139	1,859	3,496
Median HH Income	\$29,785	\$23,405	
17,102			
Pverty Rate	9.54%	9.9%	20.9%

The predominant housing stock forthe City of Hudson is urban, very old, 2-4 unitstructures, andoverwhelmingly rental. There are several largerental projects in the City andtwo high-rise publichousing developments. The development pattern of Hudson

issimilar tomany older Eastern cities with avery old and relatively dense core surroundedby post-War II single-family subdivisions.

Table 2
City of Hudson Comparative Demographics
Housing

	COLUMBIA COUNTY	GREENPORT	HUDSON	
Renters paying 35%+ of Inc.	28.0%		13.6%	38.7%
Rental Vacancy Rate	6.1%		N.A.	6.5%
Owner Occupancy Rate	30.5%	28.0%	66.9%	
Median Home Value	\$103,1	00	\$93,100	79,300
Housing Type By %				
Single Family	69.0%	63.5%	20.0%	
2-4 Family	13.8%	13.2%	54.9%	
5+	5.3%	12.3%	23.1%	
Mobile Home	11.9%	10.3%	0.0%	
% Structures Built Pre-1939	38.0%	•	17.2%	59.2%

#### **1990 Census**

The City of Hudson endures many of the urban ills of larger cities, including high taxes, visible drug trafficking and street crime. The housing market in Hudson typically lags behind the rest of the County. The homes in Hudson are typically older, in poorer condition and of lower value than homes in the remainder of the County.

The low, very low and extremely low income households in Hudson are all disproportionally renter households. And, a very large proportion of these renters have housing costs in excess of 30% of household income. As Table 3 indicates, of the 3163 households in Hudson, 796 or 25.2% are extremely low income. Of these 796, 676 are renters, 84.9%. And of these 676 extremely low income renters, 521 or 77.1% have housing costs in excess of 30% of household income. In total,49.6% of households with incomes under 80% of the Columbia County median are rent burdened.

Table 3
Hudson
Poverty and Housing Affordability Indicators

Households By Income					Rent	Burdened
	нн	Cuml %	Renters	% Rente	er HH Rent Bu	rden >30%
0-30%	796	25.2%	676	84.9%	521	77.1%
31 to 50%	651	45.7%	•	377	57.9%	263
69.8%						
51 to 80%	492	61.3%	•	406	82.5%	159
39.2%						
Total	3163	100%	2103	66.5%	1044	49.6%

10

There is also a large number of elderly Hudson households which are rent burdened. According to Table 4, there are 222 elderly households with rent burdens, this is 45% of all elderly rental households. Still the nonelderly households are slightly worse off with 47.7% of nonelderly households having housing costs in excess of 30% of household income.

Table 4
Hudson
Elderly Poverty and Housing Affordability Indicators

HH Income By Age		Rent Burden By Age			
	HH 65.	HH 65+	HH <65		
	НН 65+	Rent Burden	Rent Burden		
0 to 30%	303	22.7%			
31 to 50%	266	42.7%			
51 to 80%	89	49.4%			
<b>Total Rent Burdened</b>	222	45.0%	765 47.7%		
Total HH	1332	100% Renter HH	493		
1605					

Table 5
Hudson
Section 8 Contract Rents

# Bedrooms	Average Rents
1	\$396.00
2	\$435.00
3	\$503.00
4	\$528.00
5	\$595.00

There are 151 households on the City of Hudson Section 8 Waiting List. Of these 12 are elderly and 30 are disabled; 82 are White, 64 are Black and 22 are Hispanic. Thirteen (13) households on the Waiting List qualify for a preference, twelve have housing cost in excess of 50% of hh income and one is homeless. Most of the households on the Waiting

List, 72%, require one and two bedroom apartments, 58 and 52 hh respectively. Thirty-nine require 3 bedrooms and only three require a 43+ bedroom apartment.

#### **Barriers to Affordable Housing:**

Barriers to affordable housing in Hudson include: an absolute shortage of housing in decent condition due to the substandard conditions of much of the existing aged housing stock, lack of land for new development and existing rental housing held off the market by reluctant landlords of main street properties with retail on the 1st floor and apartments above.

The 1990 U.S. Census reprots a 6.5% vacancy rate for the City of Hudson. On the facae, this indicates a sufficient supply of rental units in Hudson. However, much of this housing is seriously substandard, and is in fact uninhabitable, or is intentionally held off the market. A recent survey of the City's first wardfound 5\41% of the housing stock to be seriously substandard. There is a very high correlation between vacant rental units and seriously substandard housing. A better measure of the available rental housing in Hudson may be found in the classified section of the local newspapers. A recent study of the Hudson Classifieds found only an average of 5 apartments available for rent on a given day, equating a 0.2% vacancy rate.

The Section 8 Contract Rents, Table 5, report an average rent of \$396 and

#### **B.** Strategy for Addressing Needs

Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list **IN THE UPCOMING YEAR**, and the Agency's reasons for choosing this strategy.

#### (1) Strategies

Need: Shortage of affordable housing for all eligible populations

Strategy 1. Maximize the number of affordable units available to the PHA within its current resources by:

Select all that apply			

	Employ effective maintenance and management policies to minimize the number of public
	housing units off-line
	Reduce turnover time for vacated public housing units
	Reduce time to renovate public housing units
	Seek replacement of public housing units lost to the inventory through mixed finance
	development
	Seek replacement of public housing units lost to the inventory through section 8 replacement
	housing resources
<u>X</u>	Maintain or increase section 8 lease-up rates by establishing payment standards that will
	enable families to rent throughout the jurisdiction
	Undertake measures to ensure access to affordable housing among families assisted by the
	PHA, regardless of unit size required
X	Maintain or increase section 8 lease-up rates by marketing the program to owners,
	particularly those outside of areas of minority and poverty concentration
X	Maintain or increase section 8 lease-up rates by effectively screening Section 8 applicants to
	increase owner acceptance of program
	Participate in the Consolidated Plan development process to ensure coordination with
	broader community strategies
	Other (list below)

# Strategy 2: Increase the number of affordable housing units by:

Select a	ıll that apply	
X	Apply for additional section 8 units should they become available	
×	Leverage affordable housing resources in the community through the creation	of mixed -
finance	e housing	
X	Pursue housing resources other than public housing or Section 8 tenant-based	assistance.

	Other: (list below)
Strate	gy 3: Conduct activities to affirmatively further fair housing
Select a	ll that apply
X	Counsel section 8 tenants as to location of units outside of areas of poverty or minority
concen	tration and assist them to locate those units
$\boxtimes$	Market the section 8 program to owners outside of areas of poverty /minority
	concentrations
	Other: (list below)
	Housing Needs & Strategies: (list needs and strategies below)  easons for Selecting Strategies
	factors listed below, select all that influenced the PHA's selection of the strategies it will.
pursue	Funding constraints
$\boxtimes$	Staffing constraints
	Limited availability of sites for assisted housing
	Extent to which particular housing needs are met by other organizations in the community
$\boxtimes$	Evidence of housing needs as demonstrated in the Consolidated Plan and other information
	available to the PHA
X	Influence of the housing market on PHA programs

Community priorities regarding housing assistance

Results of consultation with local or state government

# **Financial Resources: Planned Sources and Uses**

- Results of consultation with residents and the Resident Advisory Board X
- X Results of consultation with advocacy groups
- Other: (list below)

#### **Statement of Financial Resources**

[24 CFR Part 903.7 9 (b)]

List the financial resources that are anticipated to be available to the PHA for the support of Federal public housing and tenant-based Section 8 assistance programs administered by the PHA during the Plan year. Note: the table assumes that Federal public housing or tenant based Section 8 assistance grant funds are expended on eligible purposes; therefore, uses of these funds need not be stated. For other funds, indicate the use for those funds as one of the following categories: public housing operations, public housing capital improvements, public housing safety/security, public housing

Sources	Planned \$	Planned Uses
1. Federal Grants (FY 2000 grants)		
a) Public Housing Operating Fund		
b) Public Housing Capital Fund		
c) HOPE VI Revitalization		
d) HOPE VI Demolition		
e) Annual Contributions for Section 8	\$406,068.00	
Tenant-Based Assistance		
f) Public Housing Drug Elimination		
Program (including any		
Technical Assistance funds)		
g) Resident Opportunity and Self-		
Sufficiency Grants		
h) Community Development Block	\$300,000.00	Homeownership

Sources	Planned \$	Planned Uses
Grant		Programs
i) HOME	\$19,503.00	Rental Assistance
Other Federal Grants (list below)FAF	\$350,000.00	Rental Assistance
2. Prior Year Federal Grants (unobligated funds only) (list below)		
3. Public Housing Dwelling Rental Income		
4. Other income (list below)		
4. Non-federal sources (list below)		
Total resources	1,075,571	
Total Legotices	1,070,071	

# 3. PHA Policies Governing Eligibility, Selection, and Admissions

[24 CFR Part 903.7 9 (c)]

#### A. Section 8

Exemptions: PHAs that do not administer section 8 are not required to complete sub-component 3B. Unless otherwise specified, all questions in this section apply only to the tenant-based section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).

<u>(1) Eliş</u>	<u>gibility</u>
a. Wha	at is the extent of screening conducted by the PHA? (select all that apply)
	Criminal or drug-related activity only to the extent required by law or regulation
X	Criminal and drug-related activity, more extensively than required by law or regulation
	More general screening than criminal and drug-related activity (list factors below)
	Other (list below)
b. 🗵 🕽	Yes□No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?
с. □Ү€	es  No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?
d. □Y	res  No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC-authorized source)
e. Indio	cate what kinds of information you share with prospective landlords? (select all that apply)  Criminal or drug-related activity  Other (describe below)

#### (2) Waiting List Organization

a. With which of the following program waiting lists is the section 8 tenant-based assistance waiting list merged? (select all that apply) Strategy 3: Conduct activities to affirmatively further fair housing ⊠ Counsel section 8 tenants as to location of units outside of areas of minority concentration and assist them to locate those units⊠ Market the section poverty or 8 program to owners outside of areas of poverty /minority concentrationsOther: (list below) Other Housing Needs & Strategies: (list needs and strategies below)(A) Reasons for **Selecting Strategies**Of the factors listed below, select all that influenced the PHA's selection of the strategies it will pursue: ☐ Funding constraints ☐ Staffing constraints ☐ Limited availability of sites for assisted housing \square Extent to which particular housing needs are met by other community Evidence of housing needs as demonstrated in the organizations in the Consolidated Plan and other information available to the PHA ■ Influence of the housing Community priorities regarding housing assistance□ market on PHA programs □ Results of consultation with local or state governmentx Results of consultation with residents and the Results of consultation with advocacy groups□ Other: (list below) Statement of Financial Resources [24 CFR Part 903.7 9 (b)] Financial Resources: Planned Sources and Uses SourcesPlanned \$Planned Uses 1. Federal Grants (FY 2000 grants)a) Public Housing Operating Fundb) Public Housing Capital Fundc) HOPE VI Revitalizationd) HOPE VI Demolitione) Annual Contributions for Section 8 Tenant-Based Assistance\$406,068.00f) Public Housing Drug Elimination Program (including any Technical Resident Opportunity and Self-Sufficiency Grantsh) Assistance funds)g) Community Development Block Grant\$300,000.00Homeownership Programs i) HOME\$19,503.00Rental Assistance Other Federal Grants (list below)FAF\$350,000.00Rental Assistance 2. Prior Year

Assistance funds)g) Resident Opportunity and Self-Sufficiency Grantsh) Community

Development Block Grant\$300,000.00Homeownership Programs i) HOME\$19,503.00Rental

AssistanceOther Federal Grants (list below)FAF\$350,000.00Rental Assistance 2. Prior Year

Federal Grants (unobligated funds only) (list below)3. Public Housing Dwelling Rental

Income4. Other income (list below)4. Non-federal sources (list below)Total resources3.

PHA Policies Governing Eligibility, Selection, and Admissions [24 CFR Part 903.7

9 (c)]A. Section 8(1) Eligibilitya. What is the extent of screening conducted by the PHA?

(select all that apply)Criminal or drug-related activity only to the extent required by law or regulation

X Criminal and drug-related activity, more extensively than required by law or regulation

More general screening than criminal and drug-related activity (list factors below) Other (list

below)b. 

✓ Yes□No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?c. □Yes ☒ No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?d. □Yes ☒ No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCICauthorized source)e. Indicate what kinds of information you share with prospective landlords? (select all that apply) ☐ Criminal or drug-related activity ☐ Other (describe below)(2) Waiting **List Organization**a. With which of the following program waiting lists is the section 8 tenant-based waiting list merged? (select all that apply) Strategy 3 Conduct activities to assistance **affirmatively further fair housing** \(\omega\) Counsel section 8 tenants as to location of units outside of areas of poverty or minority concentration and assist them to locate those units⊠ Market the section 8 program to owners outside of areas of poverty /minority concentrationsOther (list below) Other Housing Needs & Strategies (list needs and strategies below)(A) Reasons for Selecting StrategiesOf the factors listed below, select all that influenced the PHA's selection of the strategies it will pursue ⊠ Funding constraints ⊠ Staffing constraints □ Limited availability of sites for assisted housing \( \sigma \) Extent to which particular housing needs are met by other organizations in the community Evidence of housing needs as demonstrated in the Consolidated Plan and other information available to the PHA ■ Influence of the housing Results market on PHA programs □ Community priorities regarding housing assistance□ Results of consultation with residents and the consultation with local or state governmentx Resident Advisory Board⊠ Results of consultation with advocacy groups□ Other (list below) Statement of Financial Resources [24 CFR Part 903.7 9 (b)] Financial Resources Planned Sources and Uses SourcesPlanned \$Planned Uses 1. Federal Grants (FY 2000 grants)a) Public Housing Operating Fundb) Public Housing Capital Fundc) HOPE VI Revitalizationd) HOPE VI Demolitione) Annual Contributions for Section 8 Tenant-Based Assistance\$406,068.00f) Public Housing Drug Elimination Program (including any Technical Resident Opportunity and Self-Sufficiency Grantsh) Assistance funds)g) Community Development Block Grant\$300,000.00Homeownership Programs i) HOME\$19,503.00Rental Assistance Other Federal Grants (list below)FAF\$350,000.00Rental Assistance 2. Prior Year Federal Grants (unobligated funds only) (list below)3. Public Housing Dwelling Rental

Income4. Other income (list below)4. Non-federal sources (list below)Total resources3.
PHA Policies Governing Eligibility, Selection, and Admissions [24 CFR Part 903.7
9 (c)] A. Section 8(1) Eligibility a. What is the extent of screening conducted by the PHA?
(select all that apply)Criminal or drug-related activity only to the extent required by law or regulation
$\underline{\mathbf{X}}$ Criminal and drug-related activity, more extensively than required by law or regulation $\square$
More general screening than criminal and drug-related activity (list factors below)□ Other (list
below)b. ⊠ Yes□No Does the PHA request criminal records from local law enforcement agencies
for screening purposes?c. $\square$ Yes $\boxtimes$ No Does the PHA request criminal records from State law
enforcement agencies for screening purposes?d. $\square$ Yes $\boxtimes$ No Does the PHA access FBI criminal
records from the FBI for screening purposes? (either directly or through an NCIC-authorized
source)e. Indicate what kinds of information you share with prospective landlords? (select all that
apply) $\square$ Criminal or drug-related activity $\square$ Other (describe below) (2) Waiting List
Organization a. With which of the following program waiting lists is the section 8 tenant-based
assistance waiting list merged? (select all that apply)
None
☐ Federal public housing
☐ Federal moderate rehabilitation
☐ Federal project-based certificate program
☐ Other federal or local program (list below)
b. Where may interested persons apply for admission to section 8 tenant-based assistance? (select all that apply)
☐ Other (list below)
(3) Search Time
a. ■Yes□ No: Does the PHA give extensions on standard 60-day period to search for a unit?

If yes, state circumstances below:						
(4) Admissions Preferences						
a. Income targeting						
$\boxtimes$ Yes $\square$ No: Does the PHA plan to exceed the federal targeting requirements by targeting more						
than 75% of all new admissions to the section 8 program to families at or below 30% of median						
area income?						
b. Preferences						
1. ☑Yes ☐ No: Has the PHA established preferences for admission to section 8 tenant-based						
assistance? (other than date and time of application) (if no, skip to subcomponent (5) Special						
purpose section 8 assistance programs)						
2. Which of the following admission preferences does the PHA plan to employ in the coming						
year? (select all that apply from either former Federal preferences or other preferences)						
Former Federal preferences						
☑ Involuntary Displacement (Disaster, Government Action, Action of Housing Owner,						
Inaccessibility, Property Disposition)						
Substandard housing						
⊠ Homelessness						
$\boxtimes$ High rent burden (rent is > 50 percent of income)						
3. If the PHA will employ admissions preferences, please prioritize by placing a "1" in the space that						

second priority, and so on.

represents your first priority, a "2" in the box representing your

If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a point system), place the same number next to each. That means you can use "1" more than once, "2" more than once, etc.

#### Former Federal preferences

- (1) Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- (0) Victims of domestic violence
- (1) Substandard housing
- (1) Homelessness
- (1) High rent burden
- 4. Among applicants on the waiting list with equal preference status, how are applicants selected? (select one)
- ☐ Drawing (lottery) or other random choice technique

#### (5) Special Purpose Section 8 Assistance Programs

- a. In which documents or other reference materials are the policies governing eligibility, selection, and admissions to any special-purpose section 8 program administered by the PHA contained? (select all that apply)
- **☒** The Section 8 Administrative Plan
- Briefing sessions and written materials
- ☐ Other (list below)

a.	How does the PHA announce the availability of any special-purpose section 8 programs to the
	public?
X	Through published notices
	Other (list below)
1	PHA Rent Determination Policies
[24	CFR Part 903.7 9 (d)]
Α.	Section 8 Tenant-Based Assistance
con tena	emptions: PHAs that do not administer Section 8 tenant-based assistance are not required to applete sub-component 4B. Unless otherwise specified, all questions in this section apply only to the ant-based section 8 assistance program (vouchers, and until completely merged into the voucher gram, certificates).  Payment Standards
Des	scribe the voucher payment standards and policies.
a. V	What is the PHA's payment standard? (select the category that best describes your standard)
	At or above 90% but below100% of FMR
X	100% of FMR
	Above 100% but at or below 110% of FMR
	Above 110% of FMR (if HUD approved; describe circumstances below)
b.	How often are payment standards reevaluated for adequacy? (select one)
$\boxtimes$	Annually
	Other (list below)

c.	What	factors	will	the	PHA	consider	in	its	assessment	of	the	adequacy	of	its	payment
star	ndard?	(select a	all tha	t app	oly)										
X	Succe	ess rates	of ass	siste	d famil	ies									
X	Rent burdens of assisted families														
	Other (list below)														

# (2) Minimum Rent

a. What amount best reflects the PHA's minimum rent? (select one)

	\$0
X	\$1-\$25
	\$26-\$50
b. <b>□</b> Y	es 🗵 No: Has the PHA adopted any discretionary minimum rent hardship
	exemption policies? (if yes, list below)

# 5. Operations and Management

[24 CFR Part 903.7 9 (e)]

# A. PHA Management Structure

(select	one)
	An organization chart showing the PHA's management structure and organization is attached.
	A brief description of the management structure and organization of the PHA follows

# **B. HUD Programs Under PHA Management**

Program Name	Units or Families Served at Year Beginning	Expected Turnover
Public Housing		
Section 8 Vouchers	105	10
Section 8 Certificates		
Section 8 Mod Rehab		
Special Purpose		
Section 8		
Certificates/Vouchers		
(list individually)		
Public Housing Drug		
Elimination Program		
(PHDEP)		

Other Federal	
Programs(list	
individually)	

#### C. Management and Maintenance Policies

(1) Public Housing Maintenance and Management: (list below)

(2) Section 8 Management: (list below)

#### **6. PHA Grievance Procedures**

[24 CFR Part 903.7 9 (f)]

#### A. Section 8 Tenant-Based Assistance

1. □Yes ☒ No: Has the PHA established informal review procedures for applicants to the Section 8 tenant-based assistance program and informal hearing procedures for families assisted by the Section 8 tenant-based assistance program in addition to federal requirements found at 24 CFR 982?

If yes, list additions to federal requirements below:

2.	Which PHA offic	e should applicants or assisted families contact to initiate the information	ıl				
	review and informal hearing processes? (select all that apply)						
X	PHA main adı	ministrative office					
	Other (list bel	ow)					
<u>7.</u>	Homeownersh	ip Programs Administered by the PHA					
[24	CFR Part 903.7 9 (k)]						
<b>A.</b>	Section 8 Tena	ant Based Assistance					
1.	⊠Yes □ No: Do	es the PHA plan to administer a Section 8 Homeownership prograr	n				
		pursuant to Section 8(y) of the U.S.H.A. of 1937, as implemented b	У				
		24 CFR part 982 ? (If "No", skip to component 12; if "yes", describ	e				
		each program using the table below (copy and complete questions for	r				
		each program identified), unless the PHA is eligible to complete	a				
		streamlined submission due to high performer status. Hig	h				
		performing PHAs may skip to component 12.)					
2.	Program Descripti	on:					
a.	Size of Program						
X	Yes □ No:	Will the PHA limit the number of families participating in the section	n				
8		homeownership option?					

		answer to the question above was yes, which statement best describes the r of participants? (select one)
	X	25 or fewer participants
		26 - 50 participants
		51 to 100 participants
		more than 100 participants
b. PH	A-estab	lished eligibility criteria
□Yes	⊠No: `	Will the PHA's program have eligibility criteria for participation in its Section
8		Homeownership Option program in addition to HUD criteria?
		If yes, list criteria below:

#### HOUSING RESOURCES' PROGRAMS AT A GLANCE

#### **COUNSELING**

#### **HUD Approved Housing Counseling Services**

Pre-Purchase Counseling is available free of charge to all residents. Potential homebuyers will receive information on the entire home buying process from initially qualifying for a mortgage to the "closing" of the purchase. HRCC's counselor will review income; debt and credit history of the potential homebuyer to determine how much one can afford for a home and how much of a mortgage one qualifies for. In cases where poor or no credit exists, the Housing Counselor will assist the client in clearing up any negative credit and provides information on alternate methods, which can be utilized, for establishing credit. Once ready, the counselor will assist the potential homebuyer through the actual buying process from the various types of mortgages that are available, assistance in completing loan applications and in facilitating the mortgage process.

#### **5 Week Home Buyer Education Course**

A more in-depth look into the entire home buying process is provided through HRCC's HomeBuyer Education Courses. The five-week course is presented by area professionals and covers such topics as shopping for a home, using a Real Estate Agent, why one needs an attorney, the home inspection, obtaining the mortgage and the steps in processing the mortgage. The course goes even further by providing participants useful information on "life as the homeowner" with presentations on insurance needs, home maintenance and household budgeting. PARTICIPATION IN THIS COURSE IS A REQUIREMENT OF ALL GRANT ASSISTANCE PROGRAMS OFFERED BY HRCC. Currently the course is being held as part of the non-credit course offerings at Columbia Greene Community College. A registration fee of \$3.00 must be made payable to Columbia-Greene Community College and paid at time of registration. There will also be a \$10.00 materials fee, which should be made payable to Housing Resources and may be paid on the first night of classes.

\*\*HRCC'S COUNSELING SERVICES ARE RECOGNIZED BY MOST AREA LENDERS AND THE FEDERAL HOUSING ADMINISTRATION (FHA). PARTICIPATION IN THE GROUP COURSE ENTITLES POTENTIAL HOME BUYERS TO REDUCE MORTGAGE INSURANCE RATES AND PARTICIPATION IN SPECIAL FIRST TIME HOME BUYER LOAN PRODUCTS.

#### **GRANT PROGRAMS**

# Columbia County 1st Time Home Buyer Grant Program

HRCC has been and continues to apply for funds from the NYS Division of Housing and Community Renewal's HOME program to fund the Columbia County 1st Time Home Buyer Program. This program provides income eligible 1st Time Home Buyers with up to \$25,000 grant assistance towards the closing and down payment costs associated with the purchase of any existing Columbia county home.

	Maximum G	rant / Income Limits	
Family Size	Grant \$25,000	Grant \$15,000	
		Maximum Income	Maximum
Income			
1	\$19,380	\$25,850	
2	\$22,200	\$29,550	
3	\$24,960	\$33,250	
4	\$27,720	\$36,950	
5	\$29,940	\$39,900	
6	\$32,160	\$42,850	
7	\$34,380	\$45,850	
8	\$36,600	\$48,800	

## **Little Falls New Construction Program**

The Little Falls Affordable Home Ownership Project, funded by the NYS Affordable Housing Corporation, provides income eligible first time home buyers with \$25,000 grant assistance to reduce the purchase price of a newly constructed home in the Little Falls Estates sub-division located in Valatie, New York. Approved applicants have three different models of homes to choose from with assisted sale prices beginning at \$74,500 for a three-bedroom ranch unit.

# West Meadows, New Construction Program

Housing Resources of Columbia County, Inc. Has received \$200,000 grant from the New York State Affordable Home Ownership Program to reduce the purchase price of eight single-family modular constructed homes to be erected at the West Meadows subdivision located off Joslen Blvd, in Greenport, New York. Three models of homes will be available for buyers to choose from, with assisted sales prices beginning at \$71,500 for a three-bedroom ranch.

#### HOME IMPROVEMENT

#### **Home Improvement Grants**

Housing Resources of Columbia County, Inc. offers Columbia County Homeowner's Home Improvement Programs which provide matching grants or 100% project costs to assist low to moderate income home owners to complete necessary repairs and improvements to their homes. Improvements will be completed by prequalified local contractors after an open and competitive bidding process.

**Eligible Applicants** 

The primary, or threshold, requirements for participation are that the recipient household must own the subject property and maintain it as their principle place of residence at the time of application. Further, participant households must earn no more than 80% of the Columbia County median income adjusted by family size according to the most recently published U.S. Dept. Of HUD median income data. The 1995/96 maximum income limits are listed below:

Income Limits	
Family Size	Maximum Income
1	\$25,850
2	\$29,550
3	\$33,250
4	\$36,950
5	\$39,900
6	\$42,450
7	\$45,850
8	\$48,800

All work necessary to bring a structure into compliance with applicable laws and regulations, including but not limited to the installation, replacement or repair of heating, plumbing, electrical and related systems and the elimination of all hazardous ad immediately hazardous violations in the structure in accordance with State and local laws, rules and regulations. Home improvement may also include reconstruction or work to improve the habitability or prolong the useful life of residential property. (Examples of eligible home improvement projects include: replacing or improving electrical systems, replacing heating systems, replacing roofs, repairing individual water supply and septic systems, replacing deteriorating interior and exterior finishes and structural members, correction of hazardous lead conditions)

#### SPECIAL PRODUCTS

#### First Home Club Savings Program

Joining forces with the Hudson City Savings Institution, a savings incentive opportunity is now available to potential homebuyers. Through the "First Home Club" program, eligible participants can receive a grant of \$3 for every \$1 they save towards closing and down payment costs. Applicants who reach their savings goal are then eligible for the grant assistance and a reduced rate mortgage with the bank. Housing Resources role in the program is to initially qualify potential applicants and conduct required home ownership training.

#### **Income Limits**

Family Size	Maximum Income
1-2 Persons	\$24,880
3+ Person Household	\$49,312

#### RELVOLVING LOAN PROGRAMS

#### **Home Improvement Program**

Housing Resources of Columbia County's Revolving Loan Fund was established to encourage the improvement of the existing housing in Columbia County and facilitate home ownership especially for low and moderate income Columbia County residents. The fund makes loans t individuals who are creditworthy but still are unable to obtain the required financing through conventional lenders. Eligible uses include property repairs to comply with local building codes or health and safety standards, and improvements to enhance the habitability or efficiency of the home, as well as down payment and closing costs for first-time home buyers.

loan / repayment terms

The interest rate is based upon income and financial need, but typically ranges from 5% to 8%. The standard repayment term is one year for every \$1,000 borrowed. However, repayment terms will be based upon individual need and the proposed debt-to –income ratio including the first mortgage payment of the buyer.

#### **First Time Home Buyer**

### Renter's First Step Program

Housing Resources of Columbia County's "Security Deposit" Lending Program was established to help families improve their living conditions and housing choices. The fund makes loans to individuals who are creditworthy but are unable to seek alternate housing due to lack of sufficient savings for security deposit and/or last month's rent which may be required by a prospective landlord. Loan funds can not be used for the first month's rental payment. The premises to be assisted must meet Federal Housing Quality standards and will be inspected by Housing Resources' Construction Manager. The intent of the program is to help families improve their living conditions by moving out of substandard housing.

#### In Home Day Care Lending Program

Housing Resources of Columbia County's "In Home Day Care" Lending Program was established to encourage in home day care services in Columbia County, and at the same time improve the condition of individual houses and neighborhoods. The program will provide certified day-care providers with low-interest loans to make necessary repairs and improvements to their house in order to facilitate the start-up or expansion of an in-home day care program. The loan program will be coordinated with training and certification by the Child Care Council of Columbia and Greene Counties. The main intent of HRCC's Day Care Lending program is to ensure that safe and "quality" day care services are available to Columbia County Residents. HRCC loan policy will not permit assistance to any unregistered day care provider. Therefore, all potential applicants will be required to register and become certified through the Child Care Council of Columbia & Greene Counties. Improvement projects will be limited to physical repairs and improvements to the home and for necessary equipment such as food preparation areas, storage facilities, or safety equipment. Uses such as working capital, supplies and lines of credit would not be eligible. The intent of this program is to encourage in home day care services in Columbia County, and at the same time improve the condition of individual houses and neighborhoods. Therefore there will be no hard income limit.

For additional information or to obtain an application for any program, please contact Housing Resources at (518) 822-0707.

# 8. PHA Community Service and Self-sufficiency Programs

[24 CFR Part 903.7 9 (1)]

#### A. PHA Coordination with the Welfare (TANF) Agency

<del>110</del> 11	in Coordination with the Wellare (1711) 17 Ingeney
1. Co	operative agreements:
□Yes	No: Has the PHA has entered into a cooperative agreement with the TANF Agency to share information and/or target supportive services (as contemplated by section 12(d)(7) of the Housing Act of 1937)?
	If yes, what was the date that agreement was signed? DD/MM/YY
2. Otł	ner coordination efforts between the PHA and TANF agency (select all that apply)
X	Client referrals
X	Information sharing regarding mutual clients (for rent determinations and otherwise)
	Coordinate the provision of specific social and self-sufficiency services and programs to eligible families
	Jointly administer programs
	Partner to administer a HUD Welfare-to-Work voucher program
	Joint administration of other demonstration program
	Other (describe)

# B. Services and programs offered to residents and participants

# (1) General

a. Self	f-Sufficiency Policies
Which	, if any of the following discretionary policies will the PHA employ to enhance
the eco	onomic and social self-sufficiency of assisted families in the following areas?
(select	all that apply)
	Public housing rent determination policies
	Public housing admissions policies
X	Section 8 admissions policies
	Preference in admission to section 8 for certain public housing families
	Preferences for families working or engaging in training or education
	programs for non-housing programs operated or coordinated by the PHA
	Preference/eligibility for public housing homeownership option participation
X	Preference/eligibility for section 8 homeownership option participation
	Other policies (list below)
b. Eco	onomic and Social self-sufficiency programs
□Yes	☑ No: Does the PHA coordinate, promote or provide any programs to enhance
	the economic and social self-sufficiency of residents? (If "yes",
	complete the following table; if "no" skip to sub-component 2,
	Family Self Sufficiency Programs. The position of the table may
	be altered to facilitate its use.)

	Serv	ices and Progra	ms	
Program Name & Description	Estimated	Allocation	Access	Eligibility

(including location, if	Size	Method	(development office /	(public housing or
appropriate)		(waiting	PHA main office /	
		list/random	other provider name)	section 8
		selection/specifi		participants or
		criteria/other)		both)

# 9. Civil Rights Certifications

[24 CFR Part 903.7 9 (o)]

Civil rights certifications are included in the PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations.

# 10. Fiscal Audit

[24 CFR Part 903.7 9 (p)]

1. ⊠Yes □ No: Is the	e PHA required to have an audit conducted under section
5(h)(2) of	the U.S. Housing Act of 1937 (42 U S.C. 1437c(h))?
(If no, skip to	component 17.)
2. ⊠Yes □ No: Was	the most recent fiscal audit submitted to HUD?
3. □Yes ☒ No: Were	e there any findings as the result of that audit?
4. □Yes □ No:	If there were any findings, do any remain unresolved?
	If yes, how many unresolved findings remain?
5. □Yes □ No:	Have responses to any unresolved findings been submitted to HUD?
	If not, when are they due (state below)?

A.	Resident Advisor	y Board Recommendations
1	<del></del>	Did the PHA receive any comments on the PHA Plan from the Resident Advisory Board/s?
2.	•	ts are: (if comments were received, the PHA MUST select one) tachment (File name) v:
3.	Considered connecessary.	the PHA address those comments? (select all that apply) omments, but determined that no changes to the PHA Plan were ged portions of the PHA Plan in response to comments elow:
	Other: (list below	ow)
	. Other Inform  Description of Ele	ation ection process for Residents on the PHA Board
1. [	⊠Yes No:	Does the PHA meet the exemption criteria provided section 2(b)(2) of the U.S. Housing Act of 1937? (If no, continue to question 2; if yes, skip to sub-component C.)
2	Yes ⊠No:	Was the resident who serves on the PHA Board elected by the residents? (If yes, continue to question 3; if no, skip to sub-component C.)

3. Description of Resident Election Process

a. Nomination of candidates for place on the ballot: (select all that apply)
Candidates were nominated by resident and assisted family organizations
Candidates could be nominated by any adult recipient of PHA assistance
Self-nomination: Candidates registered with the PHA and requested a place on ballot
Other: (describe)
b. Eligible candidates: (select one)
Any recipient of PHA assistance
Any head of household receiving PHA assistance
Any adult recipient of PHA assistance
Any adult member of a resident or assisted family organization
Other (list)
c. Eligible voters: (select all that apply)
All adult recipients of PHA assistance (public housing and section 8 tenant-based assistance)
Representatives of all PHA resident and assisted family organizations
Other (list)
B. Statement of Consistency with the Consolidated Plan
1. Consolidated Plan jurisdiction: (provide name here)
State of New York
2. The PHA has taken the following steps to ensure consistency of this PHA Plan with the
Consolidated Plan for the jurisdiction: (select all that apply)
The PHA has based its statement of needs of families in the jurisdiction on the needs
expressed in the Consolidated Plan/s.
The PHA has participated in any consultation process organized and offered by the

		Consolidated Plan agency in the development of the Consolidated Plan.
<u>X</u>	<u>-</u>	The PHA has consulted with the Consolidated Plan agency during the development of
		this PHA Plan.
		Activities to be undertaken by the PHA in the coming year are consistent with the
		initiatives contained in the Consolidated Plan. (list below)
	_	Other: (list below)
4.	Th	ne Consolidated Plan of the jurisdiction supports the PHA Plan with the following actions
	ano	d commitments: (describe below)
	(a)	Increase Affordable Housing
	(b)	Promote Homeownership Programs
	(c)	Promote Self-Suffiiency
C.	Ot	ther Information Required by HUD

# **Attachments**

# Component 7 Capital Fund Program Annual Statement Parts I, II, and II

Annual Statement		

Capital Fu	nd Program (CFP) Part I: Summary	
Capital Fur	nd Grant Number FFY of Grant Approval: (MM/YYYY	D.
Original A	Annual Statement	
Line No.	Summary by Development Account	Total Estimated Cost
1	Total Non-CGP Funds	
2	1406 Operations	
3	1408 Management Improvements	
4	1410 Administration	
5	1411 Audit	
6	1415 Liquidated Damages	
7	1430 Fees and Costs	
8	1440 Site Acquisition	
9	1450 Site Improvement	
10	1460 Dwelling Structures	
11	1465.1 Dwelling Equipment-Nonexpendable	
12	1470 Nondwelling Structures	
13	1475 Nondwelling Equipment	
14	1485 Demolition	
15	1490 Replacement Reserve	
16	1492 Moving to Work Demonstration	
17	1495.1 Relocation Costs	
18	1498 Mod Used for Development	
19	1502 Contingency	
20	Amount of Annual Grant (Sum of lines 2-19)	

21	Amount of line 20 Related to LBP Activities	
22	Amount of line 20 Related to Section 504 Compliance	
23	Amount of line 20 Related to Security	
24	Amount of line 20 Related to Energy Conservation	
	Measures	

AnnKual Statement						
Capital Fund Progra	am (CFP) Part II: Supporting Table					
				Ī		
Development						
Number/Name	General Description of Major Work	Development	Total			
HA-Wide Activities	Categories	Account	Estimated			
		Number	Cost			
				1		

<u> </u>		

Annual Statement					
Capital Fund Progra	am (CFP) Part III: Implementation Sc	hedule			
Capital Fund 110gram (CF1) Tart 111. Implementation Schedule					
Development	All Funds Obligated	All Funds Expended			
Number/Name	(Quarter Ending Date)	(Quarter Ending Date)			
HA-Wide Activities	(Quarter Ending Dute)	(Quarter Ename Date)			
1171- Wide Activities					

# **Optional Table for 5-Year Action Plan for Capital Fund (Component 7)**

Complete one table for each development in which work is planned in the next 5 PHA fiscal years. Complete a table for any PHA-wide physical or management improvements planned in the next 5 PHA fiscal year. Copy this table as many times as necessary. Note: PHAs need not include information from Year One of the 5-Year cycle, because this information is included in the Capital Fund Program Annual Statement.

	Optional 5-Year Actio	n Plan Tables		
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development	
Description of Ne Improvements	eeded Physical Improvements or	Management	Estimated Cost	Plan (HA
TF 4 1 4 1	ost over next 5 years			

# **Optional Public Housing Asset Management Table**

See Technical Guidance for instructions on the use of this table, including information to be provided.

		Public Housing Asset Management				
Development Identification		Activity Description				
Name,	Number and	Capital Fund Program	Deve	elopment	Demolition /	Designated
Number,	Type of units	Parts II and III	Activ	vities	disposition	housing
and		Component 7a	Com	ponent 7b	Component 8	Component 9
Location						
	1					